Approved for use through 10/31/2002. OMB 0651-0031*
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

utute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known						
Application Number	10/767,640					
Filing Date	January 29, 2004					
First Named Inventor	Steven S. Watanabe et al.					
Group Art Unit	2186					
Examiner Name	Not yet assigned					
Attorney Docket Number	112056-0171					

	U.S. PATENT DOCUMENTS										
Examiner Initials *	Cite No.¹		d Code ² (nown)	Namo of Patenties or Applicent of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Peges, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
JS	1	6,775,702	B2	OEDA et al.	08-10-2004	<u> </u>					
	ļ										
	<u> </u>										
	<u> </u>										
	ļ				1						
											
						7.00					
	 										
					+						
-					- -						

FOREIGN PATENT DOCUMENTS									
Evenies		Fo	reign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines.		
Examine r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Pages, Cotumns, Lines, Where Relëvant Passages or Relevant Figures Appear	То	
		_							
		 							
		-							
			<u> </u>						
-			İ						
		1				 			
		 	†			\	l		

Examiner Signature	/Joseph Schell/	Date Considered	09/18/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁰ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box	+	ĺ
---	---	---

PTO/SB/08A	(08-00)
------------	---------

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PTC)		Complete if Known			
INIEO		DIC	OLOCUDE	Application Number	10/767,640		
			CLOSURE	Filing Date	January 29, 2004		
STAT	EMENT B	Y A	PPLICANT	First Named Inventor	Steven S. Watanabe et al.		
				Group Art Unit	2186		
((use as many she	ets as	necessary)	Examiner Name	Not yet assigned		
Sheet	2	of	2	Attorney Docket Number	112056-0171		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
JS	2	ANUPAM BHIDE, ELMOOTAZBELLAH N. ELNOZAHY, STEPHEN P. MORGAN, "A Highly Available Network File Server," Proceedings of the Winter 1991 USENIX Conference, Jan. 21-25, 1991, Pgs. 199-205.					
			<u> </u>				
	<u>.</u>						

Examiner	/Joseph Schell/	Date	09/18/2006
Signature	_	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

of

1

Approved for use through 10/31/2002. OMB 0651-0031*
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no necessary are

112056-0171

Substitute for form 1449A/PTO	Complete if Known			
	Application Number	10/767,640		
INFORMATION DISCLOSURE	Filing Date	January 29, 2004		
STATEMENT BY APPLICANT	First Named Inventor	Steven S. Watanabe et al.		
	Group Art Unit	2186		
(use as many sheets as necessary)	Examiner Name	Not yet assigned		

Attorney Docket Number

W.				_					
\ T	I		_		U.S. PATENT DOCUMENTS				
Έ.	\$ X		U.S. Patent D	ocument	Name of Patentee or Applicant	Date of	Pages, Columns, Lines, Where Relevant		
8 1B		Cite No.1	l Number	d Code ² (nown)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear		
L	JS	1	5,680,580		Beardsley et al.	10-21-1997			
	JS	2	US 2003/00886 38	A1	Gluck et al.	05-08-2003			
	JS	3	US 2004/00105 45	Al	Pandya	01-15-2004			
-									
<u></u>						+			
-						-			
 						 			
-						-			
L			L			<u> </u>			

	FOREIGN PATENT DOCUMENTS										
Examine r Initials*		Foreign Patent Document		ument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,				
	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ ₆			
JS	4	WO	01/35244	A1	Miralink Corporation	05-17-2001					
		<u></u> .									

Examiner Signature	/Joseph Schell/	Date Considered	09/18/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known			
INEO	DRAKTION	DIC	CL OCUPE	Application Number	10/767,640
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	January 29, 2004
				First Named Inventor	Steven S. Watanabe et al.
				Group Art Unit	2186
(use as many sheets as necessary)		Examiner Name	Not yet assigned		
Sheet	2	of	2	Attorney Docket Number	112056-0171

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
JS	5	European Search Report for Application No. EP 01 01 6755, November 8, 2004 pgs. 1-3.		
		•		
		·		

Examiner	/Joseph Schell/	Date	09/18/2006
Signature		Considered	05, 10, 2000

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.